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<b>(21) International Application Number:</b> PCT/GB92/02230 <b>(22) International Filing Date:</b> 1 December 1992 (01.12.92)  <b>(30) Priority data:</b> 9125712.1                      3 December 1991 (03.12.91)    GB  <b>(71) Applicant (for all designated States except US):</b> SMITH-KLINE BEECHAM PLC [GB/GB]; New Horizons Court, Brentford, Middlesex TW8 9EP (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> CLARKSON, Quinten, Robert, Mark [GB/GB]; DAVIS, Adrian, Francis [GB/GB]; GORDON, Jennifer, Jane [GB/GB]; McGEE, Alan, George [GB/GB]; SmithKline Beecham Consumer Brands, St George's Avenue, Weybridge, Surrey KT13 0DE (GB).		<b>(74) Agent:</b> WHITE, Susan, Mary; SmithKline Beecham, Corporate Patents, Great Burgh, Yew Tree Bottom Road, Epsom, Surrey KT18 5XQ (GB).  <b>(81) Designated States:</b> AT, AU, BB, BG, BR, CA, CH, CS, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, NZ, PL, RO, RU, SD, SE, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> SKIN CARE COMPOSITION  <b>(57) Abstract</b>  <p>A composition, either single-phase or two-phase, for topical application to the skin comprising a retinoid compound and a vehicle system for the retinoid compound, wherein the concentration of the retinoid compound is in the range 0.0001 to 0.004 % by weight of the composition and the vehicle system is formulated to deliver a supersaturated solution of the retinoid compound to the skin surface.</p>		

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Skin Care Composition

5 This invention relates to skin care compositions containing retinoids for topical application to the skin, in particular to retinoid compositions for the treatment or prophylaxis of acne and for the treatment of skin that is damaged or susceptible to damage by photoaging or exposure to the sun.

10 Skin care compositions containing retinoid compounds are known for the treatment of acne. The use of topical retinoic acid in the treatment of acne was first described by Kligman A. M. (see US Patent 3,729,568 and Arch. Derm., 99, 469-79, 1969).

15 More recently, compositions containing retinoid compounds have been described as having a beneficial effect in treating and retarding damage to the skin caused by photoaging and exposure to the sun (UV damage). For example, US Patent 4,603,146 discloses a method for treating sun-damaged human skin topically with retinoic acid.

20 Early studies with retinoic acid on human skin used doses of retinoic acid up to 1.0% w/w (see Fulton et al., Arch. Derm. 98, 396-399, 1968). At this dosage level, treatment resulted in initial burning, stinging and reddening, scaling and peeling of the skin.

25 More recent studies have used much lower doses of topical retinoic acid in the range 0.025 to 0.05% w/w. Although these lower dose formulations result in reduced irritation compared with previously used higher doses, significant skin irritation still occurs.

30 Compositions comprising retinoid compounds formulated in vehicle systems designed to minimise the irritant properties of the retinoid and also maximise the stability of the retinoid in the formulation have been described.

35 GB 1 476 717 (Johnson & Johnson) discloses gel formulations for topical application for the treatment of acne comprising at least 0.001% by weight of tretinoin (all-trans retinoic acid) and a vehicle system consisting essentially of an organic solvent which is ethanol, isopropanol, propylene glycol or a mixture thereof, an effective amount of a pharmaceutically

acceptable antioxidant soluble in the organic solvent and an effective amount of a pharmaceutically acceptable gelling agent solvated in the organic solvent. Examples include a composition comprising tretinoin (0.002% w/w) and hydrocortisone (0.25% w/w) in an ethanol/isopropanol vehicle system.

EP-A-0 266 992 (Ortho) describes compositions containing a mixture of a corticosteroid and a retinoid in a topically administerable, pharmaceutically acceptable carrier for use in treating dermatologic disease. A dose range from about 0.00001% by weight to about 0.3% by weight of retinoid is disclosed but the examples describe only all-trans retinoic acid at a dose level of 0.025% by weight in combination with 0.1% dexamethasone.

EP-A-0 440 398 (Johnson & Johnson) discloses a skin care composition comprising a stable water-in-oil emulsion base including an antioxidant system, a chelating agent and at least one retinoid compound. The retinoid compound is described as being present in a therapeutically effective amount that may range from about 0.0001 to 5.0% by weight of the total composition. The Examples include compositions containing vitamin A alcohol (retinol) at 0.1% w/w, retinyl palmitate at 0.55% w/w, retinyl acetate at 0.34% w/w, vitamin A acid (all-trans retinoic acid) at 0.001% w/w, and 13-cis-retinoic acid at 0.01% w/w.

Many topical formulations containing retinoid compounds, for example commercially available formulations containing retinoic acid in the range 0.025 to 0.5% by weight, have poor topical bioavailability, (see Franz T. J. & Lehman P.A.; J. Toxicol-Col. and Ocular Toxicol. 8(4), 517-524, 1990), that is to say they contain drug concentrations which are very high compared with the fractional amount actually absorbed.

Percutaneous absorption of topically effective drugs is controlled by the concentration of drug in the outer layer of the stratum corneum which is in turn determined by the concentration of drug in the selected vehicle system and the properties of the solvents making up the selected vehicle system. As drug concentration is reduced, percutaneous absorption decreases due to depletion. Thus if compositions containing retinoids are to be formulated at low dosage levels to avoid wastage and local

overdosing due to poor bioavailability, optimised vehicle systems are required.

5 The solubility of active substances in solvent systems is important in relation to the design of topical drug delivery systems. It has been shown that the degree of saturation of an active substance, for example a drug, in the solvent system or vehicle is a determining factor in controlling release of the active substance.

10 Coldman *et al.*; J. Pharm. Sci., 58, 1098-1102, 1969, demonstrated that percutaneous absorption could be enhanced by over-saturating a drug solution to a supersaturated level. A supersaturated state is generated when the concentration of a solute, for example a drug, in a given solvent system exceeds the saturated solubility of the solute in that system.

15 Coldman prepared a solution of a drug in a mixture of a volatile and a non-volatile solvent and applied it to the surface of a sample of human skin. The volatile solvent evaporated leaving the drug in solution in the non-volatile solvent at a concentration in excess of its saturated solubility  
20 in that solvent, thereby creating a supersaturated solution in situ on the skin surface.

European Patent No. 0 151 953 (Beecham Group) describes a pharmaceutical composition for generating a drug solution in a  
25 supersaturated state which is not reliant on the prior evaporation of a volatile solvent.

The composition comprises two distinct but miscible liquid phases, at least one of which contains a drug dissolved therein. The composition of  
30 the phases is such that each has a different lipophilicity (or polarity) and each confers a different saturated solubility on the drug. The composition of the liquid phases and the concentration of drug in one or both phases is such that on admixture of the two phases, the total drug concentration in the mixture thus formed is greater than the concentration of drug which a  
35 mixture of the same composition can accommodate as a saturated solution. On mixing the two liquid phases, the resulting mixture is therefore supersaturated with respect to the drug. EP 0 151 953 lists the anti-acne drug retinoic acid as a suitable drug for use in the compositions

described therein.

International Patent Application Publication No. WO 92/09266 (Beecham Group; Publication Date 11 June, 1992) discloses further two-phase  
5 compositions for topical application wherein one of the liquid phases comprises water. The Examples of WO 92/09266 include formulations in which one of the liquid phases of the two-phase compositions comprises either retinyl propionate (0.01% w/w) or retinoic acid (0.02% w/w) which  
10 on mixing of the two phases give rise to supersaturated compositions in which the concentration of retinyl propionate and retinoic acid are 0.002% and 0.0025% by weight respectively.

It is notable that whilst a number of prior art references describe low dose  
15 retinoid compositions comprising a combination of volatile and non-volatile solvents, saturated drug solubility and hence percutaneous absorption is not optimised, and supersaturated solutions of the retinoid compounds are not generated on evaporation of the volatile solvents.

Whilst the need for low-dose retinoic acid compositions is recognised in  
20 the art in order to reduce irritancy, it has not heretofore been possible to deliver retinoic acid to the skin from a composition in which the retinoic acid concentration is sufficient to promote percutaneous absorption to achieve the desired therapeutic effect whilst avoiding or at least mitigating local irritation. The minimum concentration of retinoic acid in  
25 a commercially available anti-acne treatment is 0.025% w/w for a cream formulation and 0.01% w/w for a gel formulation.

It has now been found that a composition containing a low concentration of a retinoid compound, formulated in a vehicle system to deliver a low  
30 dosage but supersaturated solution of the retinoid compound to the skin, provides an effective delivery system for enhancing percutaneous absorption to levels achieved with commercially available preparations. Furthermore use of such low-dose retinoid compositions reduces the potential for local overdosing and irritation at permeable skin sites, and  
35 renders them particularly suitable for both topical treatment and maintenance therapy over an extended period of time.

According to the present invention there is provided a composition for

topical application to the skin comprising a retinoid compound and a vehicle system for the retinoid compound, characterised in that the concentration of the retinoid compound is in the range 0.0001 to 0.004% by weight of the composition and the vehicle system is formulated to  
5 deliver a supersaturated solution of the retinoid compound to the skin surface.

As used herein, the term retinoid compound includes all-trans retinoic acid (tretinoin), and 13-cis retinoic acid (isotretinoin) and derivatives  
10 thereof including esters and amides; etretinate; retinal; retinol (vitamin A) and derivatives thereof including retinyl ethers and retinyl esters such as retinyl propionate. The term retinoid compound also includes, where applicable, salts, for example alkali metal salts and alkaline earth metal salts, and solvates including hydrates.

15 The concentration of the retinoid compound in a composition of the invention lies in the range 0.0001 to 0.004% by weight of the total composition, suitably in range 0.00025 to 0.003%, preferably in the range 0.0005 to 0.0025% or 0.0005 to 0.002% by weight, for example 0.00025,  
20 0.0005, 0.00125, 0.002 or 0.0025% by weight.

Preferred retinoid compounds for use in compositions of the present invention for the treatment of acne include all-trans retinoic acid (tretinoin) and 13-cis retinoic acid (isotretinoin). Preferred retinoid  
25 compounds for the treatment of photoaging or sun-damaged skin include all-trans retinoic acid and retinyl propionate.

A suitable concentration of tretinoin in a composition for acne treatment lies in the range 0.0001 to 0.003% w/w, preferably 0.00025 to 0.0025% by  
30 weight, or 0.0005 to 0.002% by weight, for example 0.00025, 0.0005, 0.00125, 0.002 or 0.0025% by weight.

A suitable concentration of tretinoin in a composition for the treatment of photoaged or sun-damaged skin lies in the range 0.0001 to 0.004%,  
35 preferably 0.0005 to 0.003% and more preferably 0.001 to 0.0025% by weight or 0.001 to 0.002% by weight, for example 0.0005, 0.00125, 0.002 or 0.0025% by weight.

Compositions of the invention may be formulated as either single-phase or two-phase compositions. Two-phase compositions of the invention are suitably formulated as described in EP 0 151 953 and preferably as described in WO 92/09266.

5

Due to the inefficiency of percutaneous absorption, highly supersaturated systems can be of great benefit. The rate of drug penetration in situ will depend largely on the degree of supersaturation; vis the ratio of supersaturated drug concentration to saturated drug concentration. A degree of supersaturation in excess of 1 is considered useful, and values from 2, for relatively slow penetration, to 10, for rapid penetration, are preferred. By means of the present invention very high degrees of supersaturation may be both obtained and moreover maintained over a substantial time period.

15

Depending upon the formulation type used, single-phase or two-phase, supersaturation is suitably formed or maintained by loss of volatile vehicle components. However, independent of loss of vehicle components and the subsequent increase in the concentration of retinoid compound in the residual phase composition so formed, formulations of the present invention when applied at a typical total product loading, for example between 2.5 to 10 mg/cm<sup>2</sup>, will result in a retinoid compound loading which is very much lower than that contained in the same total product loading of retinoid compositions currently available in the art.

25

In one aspect of the invention there is provided a two-phase composition for topical application, wherein the two phases are intended to be mixed together on or immediately prior to application, comprising: a first liquid phase containing a retinoid compound dissolved therein and comprising a topically acceptable solubiliser; and a second liquid phase, physically and/or chemically different from the first phase but miscible therewith on admixture, optionally containing the same retinoid compound dissolved therein and comprising a topically acceptable carrier; the composition of the first and second liquid phases being such that each has a different lipophilicity and each confers a different saturated solubility on the retinoid compound; the concentration of retinoid compound in each phase in which it is present and the composition of each of the first and second liquid phases being such that, on admixture of

35



the phases, the total retinoid compound concentration in the mixture thus formed is greater than the saturated retinoid compound concentration in the same mixture, whereby the said mixture is supersaturated with the retinoid compound; characterised in that the retinoid compound  
5 concentration in the mixture is in the range 0.0001 to 0.004% by weight of the total composition.

In the context of the present invention, the term liquid is used to denote materials of varying consistency ranging from lotions to viscous materials,  
10 in particular creams and gels. Preferably, compositions of the invention, whether single-phase or two-phase, are formulated to provide hydrophilic cosolvent gels.

It will be appreciated that two-phase compositions are not limited with  
15 respect to the physical nature of the product obtained on mixing the two liquid phases, provided that the first and second liquid phases are miscible.

In a two-phase composition of the invention, the second liquid phase need  
20 not contain any retinoid compound, provided that the product obtained on admixture of the two phases is supersaturated with respect to the retinoid compound. Each phase may contain one or more retinoid compounds in amounts such that the resultant product mixture is supersaturated in one or more retinoid compounds.

25 Suitably, a two-phase composition of the invention has a first liquid phase which is either subsaturated or saturated with retinoid compound. The use of saturated solutions maximises the degree of supersaturation which a two-phase composition may generate whereas for ease of manufacture it  
30 may be advantageous to use a subsaturated concentration of the retinoid compound. Preferably, a composition of the invention has a first liquid phase which is either sub-saturated or saturated with retinoid compound and a second liquid phase which contains no retinoid compound. The degree of saturation, and hence the rate of drug release from the resulting  
35 supersaturated drug preparation after mixing, can be readily predicted from the saturated solubility curve for a given solubiliser/carrier system.

In a two-phase composition of the invention, the relative proportion by

weight of the first liquid phase to the second liquid phase is advantageously from 1:1 to 1:12, preferably from 1:2 to 1:8.

5 As used herein with respect to any composition of the invention, the term solubiliser denotes a liquid in which the retinoid compound has a higher saturated solubility than in an associated carrier.

10 Analogously, the term carrier denotes a liquid in which the retinoid compound has a lower saturated solubility than in an associated solubiser.

Suitably a solubiliser is a liquid in which the retinoid compound is readily soluble whilst a carrier is a liquid in which the retinoid compound has poor solubility.

15 In a two-phase composition of the invention which is formulated to provide a hydrophilic gel, the topically acceptable carrier of the second liquid phase, as hereinbefore defined, suitably comprises water. Solvent evaporation, in particular evaporation of water which takes place after  
20 mixing together of the two liquid phases and application to the skin surface, can have the effect of increasing the saturated solubility of the retinoid compound in the residual composition so formed. An increase in retinoid compound saturated solubility is reflected in a reduction of the degree of saturation of the supersaturated solution. In a preferred two-  
25 phase composition of the invention, the tendency for the degree of saturation to decline due to solvent evaporation over a period of time is counteracted by the use of a second liquid phase wherein the topically acceptable carrier comprises a first component which is water and a second component which has a lipophilicity intermediate between that of  
30 water and the solubiliser of the first liquid phase.

Since water is a necessary component of the topically acceptable carrier of the second liquid phase of these preferred compositions, it will be readily appreciated that topically acceptable solubilisers suitable for use in such  
35 two-phase compositions of the present invention are generally more lipophilic or less-polar liquids. The first liquid phase may comprise more than one such liquid.

Examples of suitable solubilisers include propylene glycol, 1,3-propylene diol, polyethylene glycol, polypropylene glycol, ethanol, propanol, acetone, dimethylisosorbide, dimethylsulphoxide, benzyl alcohol, and other glycol, ether and ester solvents of similar polarity.

5

Preferred solubilisers are propylene glycol, polyethylene glycol and ethanol.

10

In a preferred two-phase composition as hereinbefore described, the second component of the topically acceptable carrier of the second liquid phase is a liquid miscible with water, suitably having a lipophilicity closer to that of water than that of solubiliser. Favourably the second component is not volatile at ambient, and particularly at body temperature.

15

Suitable liquids include glycerol and propylene glycol. A preferred liquid is glycerol.

20

This second component may comprise up to 50% by weight of the topically acceptable carrier, suitably from 5 to 40% by weight and preferably from 10 to 25% by weight.

25

In another preferred two-phase composition of the invention, the solubiliser of the first liquid phase may comprise a first component which is non-volatile and a second component which is relatively more volatile at ambient, and particularly at body temperature. Favourably the second more volatile component has comparable volatility to water. Suitable more volatile components include ethanol, isopropanol and acetone. A preferred more volatile component is ethanol. Suitably, a relatively more volatile second component may comprise up to 50% by weight of the first liquid phase.

30

35

The invention also encompasses two-phase compositions in which a relatively more volatile solubiliser, for example ethanol, is present in the second liquid phase. The second liquid phase suitably comprises up to 20% by weight of such relatively more volatile solubiliser, for example from 4% to 20% of such relatively more volatile solubiliser.

The incorporation of a more volatile solubiliser component with comparable volatility to water in one or both liquid phases, further counteracts the tendency for the degree of supersaturation in the supersaturated preparation, generated on mixing, to decline.

- 5 Co-evaporation of this more volatile component with water further stabilises the lipophilicity (or polarity) of the resulting mixture and hence the drug saturated solubility.

Thus, a preferred two-phase composition of the invention containing  
10 0.0001 to 0.004% w/w retinoic acid is formulated to provide an essentially aqueous-based lotion or gel in a 1.5 to 10-fold supersaturated state. The composition suitably comprises greater than 60% w/w water and optionally up to 20% w/w ethanol, propylene glycol and glycerol making up the remainder of the cosolvent system which may also contain a  
15 further solvent having a lipophilicity between that of propylene glycol and glycerol.

Where retinoid compositions of the invention are formulated as single phase compositions, the retinoid compound is present at a concentration  
20 in the range 0.0001 to 0.004% by weight of the composition which suitably includes a vehicle system comprising a mixture of a volatile solvent and a non-volatile solvent, as first described by Coldman *et al.*, (J. Pharm. Sci. 58, 1098-1102, 1969). The vehicle system is chosen to generate a residual composition in which the concentration of the retinoid  
25 compound exceeds its saturated solubility and which accordingly delivers a supersaturated solution of the retinoid compound to the skin after evaporation of the volatile solvent.

Suitable volatile solvents for the vehicle system of a single phase  
30 composition of the invention include ethanol, and mixtures of ethanol and water. Suitable non-volatile solvents include propylene glycol, polyethylene glycol, propylene carbonate and glycerol and mixtures thereof. A particularly preferred single-phase composition for retinoic acid comprises a vehicle system containing ethanol, water, propylene glycol  
35 and glycerol in proportions which take into account both their relative volatilities and the saturated solubility of retinoic acid in each solvent.

Compositions of the invention may also contain an antinucleating agent.

The antinucleating agent used in two-phase compositions according to the invention may be present in either or both of the said first and second liquid phases of the composition. Advantageously, it is present in at least the second phase and it may additionally be present in the first phase. In  
5 any event, when the two phases are mixed to provide a supersaturated solution, the antinucleating agent will, of course, be present in the resulting solution.

The antinucleating agent may be present in an amount of up to 10% by weight, suitably in an amount of up to 5.0% by weight, advantageously  
10 from 0.01 to 2.0% by weight, and preferably from 0.1 to 1.0% by weight, based on the total weight of the composition.

The antinucleating agent should be soluble or dispersible in the phase or  
15 phases in which it is present and, of course, for a two-phase composition in the resulting mixed solution.

Examples of suitable antinucleating agents are hydroxyalkylcelluloses, such as hydroxypropylmethylcellulose and hydroxypropylcellulose,  
20 polyvinylpyrrolidone, polyacrylic acid, and derivatives thereof. A mixture of two or more different antinucleating agents may be used. In the event that an antinucleating agent is included in each of the first and second liquid phases of a two-phase composition, the same or different antinucleating agents may be included in each phase.

25 The choice of suitable antinucleating agent will depend both on the particular retinoid compound and the choice of solvent materials, but suitable anti-nucleating agents can readily be selected by simple experiment. This may be done, for example, by preparing samples of the  
30 desired supersaturated drug solution; adding a selection of anti-nucleating agents (in say 1% by weight concentration), one to each sample; allowing the samples to stand for say 2 hours; and noting which solutions have remained clear.

35 Compositions of the invention including each of the first and second liquid phases of a two-phase composition may be thickened with a suitable thickening or gelling agent of either natural or synthetic origin. Examples of thickening and gelling agents are natural gums, tragacanth,

carageen, pectin, agar, alginic acid, cellulose ethers and esters, xanthan gum, guar and locust bean gum, bentonite (a colloidal hydrated aluminium silicate), veegum (colloidal magnesium aluminium silicate), laponite (a synthetic hectorite), polyvinyl alcohol, Pluronics (a Tradename), Aerosil (a Tradename colloidal silica), and Carbopol (a Tradename). Where present, a thickening or gelling agent suitably comprises 0.25 to 1.0 % w/w of the composition.

Certain thickening agents may require the addition of an adjunct which serves to activate the thickening mechanism. For example, amines such as triethanolamine or tromethamine (Tris amino) are commonly used in conjunction with Carbopol suspensions. As an alternative, sodium hydroxide may be used, and is particularly suitable for activating aqueous Carbopol suspensions.

Preservatives including anti-oxidants and UV absorbers, and other adjuvants may also be added. It is notable that all-trans retinoic acid (tretinoin) is highly sensitive to UV radiation and oxidative degradation and will readily isomerise to the corresponding cis-isomer (isotretinoin). It is accordingly recommended when handling and storing tretinoin compositions to avoid exposure to UV light. Suitable antioxidants include butylated hydroxyanisole (BHA), butylated hydroxytoluene (BHT) and tertiary butyl hydroquinone (TBHQ). An antioxidant suitably comprises from 0.001 to 0.5% w/w of the composition. Suitable sunscreens include benzophenone and derivatives thereof.

Compositions of the invention may be prepared by processes well known in the art of pharmaceutical formulation, for example by admixture, using appropriate equipment and techniques, of the components present in either a single-phase composition or, where the composition is formulated as a two-phase composition, in each of the first and second liquid phases.

Two-phase compositions of the invention may be packaged into a twin compartment pack ready for topical application by the user or patient. The user or patient would normally apply the two phases simultaneously to the treatment area and then mix the phases together in situ to create the supersaturated drug system.

The two phases may also be mixed in the pack by breaking a membrane or seal separating the first and second phases, thus creating a supersaturated solution in the pack, prior to application. Suitable packs for such purposes are commercially available.

5

In a further aspect of the invention there is provided a method for topical treatment of the human or animal body which comprises applying thereto an effective amount of a single-phase or a two-phase pharmaceutical composition according to the invention.

10

The invention also provides the use of a single-phase or two-phase pharmaceutical composition as hereinbefore defined for the manufacture of a medicament for topical application to the skin for the treatment and/or prophylaxis of acne or damage caused by aging or exposure to UV radiation.

15

The following Examples illustrate the invention. Examples 1 to 11 provide two-phase formulations which on mixing the two phases generate supersaturated solutions. In each of Examples 1 to 10 a supersaturated solution is formed by mixing one part of the first phase with seven parts of the second phase. In Example 11, a supersaturated solution is formed by mixing one part of the first phase with four parts of the second phase. Examples 12 to 15 are single-phase compositions of the invention which generate a supersaturated solution of retinoic acid by evaporation of the volatile solvents on the skin surface.

20

In addition to the constituents described in the Examples, the compositions may each contain, as appropriate and where not already indicated, adjuvants such as antinucleating agents, for example HPC, HPMC and PVP; antioxidants, for example butylated hydroxyanisole; preservatives, for example phenoxetol; gelling or thickening agents, for example Carbopol 980 with a suitable neutralising agent such as Tris amino for a non-aqueous phase or sodium hydroxide for an aqueous phase; and UV absorbers, for example benzophenone-3.

30

The following abbreviations are used:

- PEG : polyethylene glycol  
5 PVP : polyvinylpyrrolidone  
HPMC : hydroxypropylmethylcellulose  
HPC : hydroxypropylcellulose

Example 1

	<u>% w/w</u>
First Phase: retinoic acid	0.004
propylene glycol	49.998
ethanol	49.998
10	
	<u>% w/w</u>
Second Phase: glycerol	12.00
water	87.00
HPMC	1.00

Concentration of retinoic acid on mixing = 0.0005% w/w

(Degree of supersaturation = 5.88)

Concentration of retinoic acid in residual composition = 0.003% w/w

15 (Degree of supersaturation = 6.43)

Example 2

	<u>% w/w</u>
First Phase: retinoic acid	0.004
propylene glycol	99.996
	<u>% w/w</u>
Second Phase: glycerol	19.00
water	75.00
ethanol	5.00
HPMC	1.00

Concentration of retinoic acid on mixing = 0.0005% w/w

20 (Degree of supersaturation = 3.44)

Concentration of retinoic acid in residual composition = 0.0016% w/w

(Degree of supersaturation = 3.80)



Example 3

		<u>% w/w</u>
First Phase:	retinoic acid	0.004
	propylene glycol	99.996

5

		<u>% w/w</u>
Second Phase:	glycerol	25.00
	water	74.00
	HPMC	1.00

Concentration of retinoic acid on mixing = 0.0005% w/w

(Degree of supersaturation = 5.0)

Concentration of retinoic acid in residual composition = 0.0013% w/w

10 (Degree of supersaturation = 3.15)

Example 4

		<u>% w/w</u>
First Phase:	retinoic acid	0.004
	propylene glycol	69.996
	glycerol	30.000

15

		<u>% w/w</u>
Second Phase:	glycerol	25.00
	water	74.00
	HPMC	1.00

Concentration of retinoic acid on mixing = 0.0005% w/w

(Degree of supersaturation = 6.0)

Concentration of retinoic acid in residual composition = 0.0013% w/w

20 (Degree of supersaturation = 3.7)

Example 5

	<u>% w/w</u>
First Phase: retinoic acid	0.004
propylene glycol	74.997
glycerol	24.999

	<u>% w/w</u>
Second Phase: glycerol	9.50
water	84.5
ethanol	5.00
antinucleant	1.0

5

Concentration of retinoic acid on mixing = 0.0005% w/w

(Degree of supersaturation = 5.26)

Concentration of retinoic acid in residual composition = 0.0022% w/w

(Degree of supersaturation = 6.83)

10

Example 6

	<u>% w/w</u>
First Phase: retinoic acid	0.02
propylene glycol	99.98

	<u>% w/w</u>
Second Phase: glycerol	6.00
propylene glycol	4.00
water	74.00
ethanol	16.00

15 Concentration of retinoic acid on mixing = 0.0025% w/w

(Degree of supersaturation = 6.00)

Concentration of retinoic acid in residual composition = 0.01% w/w

(Degree of supersaturation = 6.00)

Example 7

	<u>% w/w</u>
First Phase: retinoic acid	0.02
propylene glycol	99.98

	<u>% w/w</u>
Second Phase: glycerol	15.30
propylene glycol	2.7
water	71.00
ethanol	10.00
antinucleant	1.00

5

Concentration of retinoic acid on mixing = 0.0025% w/w

(Degree of supersaturation = 10.0)

Concentration of retinoic acid in residual composition = 0.008% w/w

(Degree of supersaturation = 10.3)

10

Example 8

	<u>% w/w</u>
First Phase: retinoic acid	0.02
propylene glycol	99.98

	<u>% w/w</u>
Second Phase: glycerol	14.25
water	79.75
ethanol	5.0
antinucleant	1.0

15

Concentration of retinoic acid on mixing = 0.0025% w/w

(Degree of supersaturation = 20)

Concentration of retinoic acid in residual composition = 0.01% w/w

(Degree of supersaturation = 22)

Example 9

	<u>% w/w</u>
First Phase: retinoic acid	0.01
propylene glycol	99.99

	<u>% w/w</u>
Second Phase: glycerol	12.825
propylene glycol	1.425
water	79.75
ethanol	5.0
antinucleant	1.00

- 5 Concentration of retinoic acid on mixing = 0.00125% w/w

(Degree of supersaturation = 8.9)

Concentration of retinoic acid in residual composition = 0.0046% w/w

(Degree of supersaturation = 7.8)

10 Example 10

	<u>% w/w</u>
First Phase: retinoic acid	0.01
propylene glycol	99.99

	<u>% w/w</u>
Second Phase: glycerol	6.00
propylene glycol	4.00
water	73.00
ethanol	16.0
antinucleant	1.0

Concentration of retinoic acid on mixing = 0.00125% w/w

- 15 (Degree of supersaturation = 3)

Concentration of retinoic acid in residual composition = 0.005% w/w

(Degree of supersaturation = 3)

Example 11

	<u>% w/w</u>
First Phase: retinyl propionate	0.01
PEG 400	99.99

	<u>% w/w</u>
Second Phase: PEG 400	25.00
glycerol	75.00

5

Concentration of retinoic acid on mixing = 0.002% w/w  
(Degree of supersaturation  $\equiv$  5)

Example 12

	<u>% w/w</u>
Single-Phase system: retinoic acid	0.0005
glycerol	18.0
propylene glycol	2.0
klucel L	0.005
carbopol 980	0.50
Tris amino	0.25
BHA	0.25
ethanol	55.00
water	qs to 100

10 Concentration of retinoic acid in residual composition = 0.0025% w/w  
(Degree of supersaturation = 5.0)

Example 13

	<u>% w/w</u>
Single-Phase system: retinoic acid	0.00025
glycerol	9.00
propylene glycol	1.00
klucel L	0.0025
carbopol 980	0.50
Tris amino	0.25
BHA	0.20
ethanol	62.00
water	qs to 100

- 5 Concentration of retinoic acid in residual composition = 0.0025% w/w  
(Degree of supersaturation = 5.0)

Example 14

	<u>% w/w</u>
Single-Phase system: retinoic acid	0.0025
glycerol	45.00
propylene glycol	5.00
ethanol	qs to 100

- 10 Concentration of retinoic acid in residual composition = 0.005% w/w  
(Degree of supersaturation = 10.0)

Example 15

	<u>% w/w</u>
Single-Phase system: retinoic acid	0.00125
glycerol	45.00
propylene glycol	5.00
ethanol	qs to 100

- Concentration of retinoic acid in residual composition = 0.0025% w/w  
(Degree of supersaturation = 5.0)

Example 16Comparison of retinoic acid loading in compositions applied to the skin

<u>Retinoic Acid</u> <u>Concentration (w/w)</u>	<u>Total Product</u> <u>Loading (mg/cm<sup>2</sup>)</u>	<u>Retinoic Acid</u> <u>Loading (ng/cm<sup>2</sup>)</u>
0.0005%	2.5	12.5
0.0005%	10	50
0.004%	2.5	100
0.004%	10	400
0.01%	2.5	250
0.01%	10	1000
0.05%	2.5	1250
0.05%	10	5000

5

Example 17Dose Response Study in the Rhino Mouse Model

10

The rhino mouse is used as a model for the keratinisation defect in acne and has been shown to respond to retinoic acid treatment (J. Invest. Dermatol., 73, 354, (1979); Kligman L.H. and Kligman A.M.). The rhino mouse has abnormal keratin-filled hair follicles (utriculi) and loose sagging skin. On treatment with retinoic acid, the keratin plugs break up and are gradually expelled, and the hair follicle becomes normalised. Other retinoid-induced effects on the skin include thickening of the epidermis and smoothing of the wrinkled skin.

20 Method

A dose response study was carried out according to the method of Kligman and Kligman to compare the effects of three different concentration, retinoic acid (tretinoin) supersaturated gel compositions of the invention (0.0005, 0.00125 and 0.0025% w/w tretinoin) against a placebo gel formulation and a subsaturated control (0.005% w/w solution of tretinoin in 70% ethanol (95% w/w) and PEG (5% w/w). Samples (100µl) were applied twice daily, 5 times a week for 4 weeks. 8 animals were treated in each group.

25

### Assessments

A subjective assessment was made on a weekly basis of wrinkling, roughness (scaling) and irritation (redness) of the skin.

- 5 Replicas were made of a standard area of the dorsal skin on 6 mice per group at the beginning and at the end of the study. The replicas were used for measurement of roughness parameters Ra and Rz by Image Analysis. (Ra gives a general measure of roughness and Rz gives a measure of maximum wrinkle depth, separately from fine wrinkles.)
- 10 Split skin whole mounts were prepared from treated skin at the end of the treatment period using 6 mice per group. The epidermis was separated from the dermis and mounted on microscope slides. The keratin-filled follicular structures remained attached to the epidermis (which was almost entirely stratum corneum). The diameters of these utriculi were
- 15 measured under the microscope. Sections of skin were prepared for histological evaluation from 6 mice per group. Heamatoxylin and Eosin sections were evaluated for epidermal thickening and inflammatory changes. A global assessment was made of the utriculi changes.

20

### Results

- The results for the subjective assessment of skin condition, together with numerical roughness data derived from the replicas and the whole mount measurements are given in Table I. The same trends are apparent in all
- 25 three endpoints. All retinoic acid formulations showed biological activity and a dose response, with a numerical trend in all parameters corresponding to the dose applied, was observed. The subsaturated control formulation was the most irritant and produced noticeable flaking of the skin surface. The lowest concentration tested (0.0005% w/w
- 30 supersaturated gel formulation) was notably less irritant than the other drug formulations tested. It generated only a slight pink colouration of the skin, an indication of minimal irritant effect.

- Histological evaluation of the skin sections also demonstrated a clear dose
- 35 response. Keratinisation defects were observed to be normalised in a dose-dependant manner. Histological evaluation indicated that the biological effects of the 0.0025% w/w supersaturated test formulation and the subsaturated control were similar but inflammation of the dermis (a



- measure of irritation) in mice treated with the supersaturated formulation was noticeably reduced. Thus, for both formulations the utriculi were greatly narrowed and converted to almost normal follicular dimensions. All but small amounts of horny material were expelled. The follicular
- 5 epithelium and the epidermis were hyperplastic (greatly thickened) and the granular layer was prominent.
- The lowest dose supersaturated formulation (0.0005% w/w) showed significant changes by comparison with placebo. Epidermal thickening, induction of a granular layer and slight enlargement of the sebaceous
- 10 glands were observed. Most utriculi were narrowed to shallow "U" shapes with retention of small to moderate amounts of horny material. There was virtually no inflammation of the dermis.

TABLE I

Sample	Clinical Assessment	Replicas			Whole Mounts mean diameters (um) $\pm$ SD
		n	Ra	Rz	
Untreated control	n = 8	-	-	-	n = 6
Placebo gel	-	-	-	-	10.6 $\pm$ 9.2
0.0005% RA	No obvious change in the skin	4	3.25 $\pm$ 2.71	11.28 $\pm$ 4.70	9.0 $\pm$ 0.54
supersaturated	Skin slightly pink and a little smoother than normal	6	5.69 $\pm$ 5.43	20.58 $\pm$ 18.04	5.5 $\pm$ 0.98
0.00125% RA	Skin slightly pink slightly dry (very fine scales) and smoother than normal	6	7.81 $\pm$ 3.14	26.39 $\pm$ 10.50	4.5 $\pm$ 0.64
supersaturated	Skin pink, slightly dry (very fine scales) and smoother than normal	4	7.84 $\pm$ 2.78	29.55 $\pm$ 4.61	4.4 $\pm$ 0.37
0.0025% RA	Skin pink, dry (scales more abundant), and a few mice irritated with tiny red spots	6	2.06 $\pm$ 7.31	12.87 $\pm$ 20.19	3.9 $\pm$ 0.33
supersaturated					
control (EtOH/PEG/H <sub>2</sub> O) solution					

## Claims

1. A composition for topical application to the skin comprising a retinoid compound and a vehicle system for the retinoid compound  
5 characterised in that the concentration of the retinoid compound is in the range 0.0001 to 0.004% by weight of the composition and the vehicle system is formulated to deliver a supersaturated solution of the retinoid compound to the skin surface; subject to the proviso that when the composition is a two-phase composition calculated to generate a 6-fold  
10 supersaturated 0.0025% by weight solution of retinoic acid or a 10-fold supersaturated 0.002% by weight solution of retinyl propionate on mixing of the two phases, then one phase of the composition is not a solution of the retinoid compound in polyethylene glycol, and when the composition is a two-phase composition calculated to generate a 7-fold supersaturated  
15 0.0025% by weight solution of retinoic acid, then one phase of the composition is not a solution of the retinoid compound in propylene glycol when the second phase is a mixture of glycerol, water and ethanol.
2. A composition as claimed in claim 1 in which the retinoid  
20 compound is all-trans retinoic acid or 13-cis retinoic acid or an ester or amide derivative thereof; etretinate; retinal; retinol; or a retinol ester.
3. A composition as claimed in claim 2 in which the retinoid  
25 compound is all-trans retinoic acid, 13-cis retinoic acid or retinyl propionate.
4. A composition as claimed in claim 3 in which the retinoid compound is all-trans retinoic acid.
- 30 5. A composition as claimed in any one of claims 1 to 4 in which the concentration of the retinoid compound is in the range 0.0005 to 0.0025% by weight of the total composition.
- 35 6. A composition as claimed in any preceding claim which is a single-phase composition and wherein the vehicle system comprises a mixture of a volatile and a non-volatile solvent.

-26 -

7. A composition as claimed in claim 6 in which the non-volatile solvent comprises propylene glycol, polyethylene glycol, propylene carbonate, glycerol or mixtures thereof.
- 5 8. A composition as claimed in claim 6 or 7 in which the volatile solvent comprises ethanol or a mixture of ethanol and water.
- 10 9. A composition as claimed in any one of claims 1 to 5 which is a two-phase composition wherein the two phases are intended to be mixed together on or immediately prior to application to the skin, comprising a first liquid phase containing a retinoid compound dissolved therein and comprising a topically acceptable solubiliser; and a second liquid phase, physically and/or chemically different from the first phase but miscible  
15 therewith on admixture, optionally containing the same retinoid compound dissolved therein and comprising a topically acceptable carrier; the composition of the first and second liquid phases being such that each has a different lipophilicity and each confers a different saturated solubility on the retinoid compound; the concentration of retinoid  
20 compound in each phase in which it is present and the composition of each of the first and second liquid phases being such that, on admixture of the phases the retinoid compound concentration in the mixture thus formed is greater than the saturated retinoid compound concentration in the same mixture, whereby the said mixture is supersaturated with the retinoid.  
25
10. A composition as claimed in claim 9 wherein the topically acceptable carrier of the second liquid phase comprises a first component which is water and a second component which has a lipophilicity  
30 intermediate between that of water and the solubiliser of the first liquid phase.
11. A composition as claimed in claim 9 or 10 in which the topically acceptable solubiliser is selected from propylene glycol, 1,3-propylene diol,  
35 polyethylene glycol, ethanol, propanol, acetone, dimethylisobutylate, dimethylsulphoxide, benzyl alcohol and other glycol, ether and ester solvents of similar polarity.

-27 -

12. A composition as claimed in claim 11 in which the solubiliser is propylene glycol, polyethylene glycol, ethanol or mixtures thereof.
13. A composition as claimed in any one of claims 10 to 12 in which the second component of the topically acceptable carrier of the second liquid phase comprises up to 50% by weight of the topically acceptable carrier.
14. A composition as claimed in claim 13 in which the second component of the topically acceptable carrier is glycerol or propylene glycol.
15. A composition as claimed in any one of claims 10 to 14 in which the topically acceptable solubiliser of the first liquid phase comprises a first component which is non-volatile and a relatively more volatile second component.
16. A composition as claimed in claim 15 in which the relatively more volatile second component has comparable volatility to water.
17. A composition as claimed in claim 15 or 16 in which the relatively more volatile second component comprises up to 50% by weight of the first liquid phase.
18. A composition as claimed in any one of claims 10 to 17 in which the second liquid phase comprises up to 20% by weight of a relatively more volatile second component as defined in claim 15.
19. A composition as claimed in any one of claims 15 to 18 in which the relatively more volatile second component is ethanol, isopropanol or acetone.
20. A composition as claimed in any one of claims 9 to 19 in which the relative proportion by weight of the first liquid phase to the second liquid phase is from 1 : 1 to 1 : 12.
21. A composition as claimed in any one of claims 9 to 20 in which the degree of saturation on admixture of the first and second liquid phases is

-28 -

in the range 2 to 10.

22. A composition as claimed in any preceding claim for use in therapy.

5

23. A composition as claimed in any one of claims 1 to 21 for use in the treatment or prophylaxis of acne.

24. A composition as claimed in any one of claims 1 to 21 for use in the treatment or prophylaxis of photoaged or sun-damaged skin.

10

25. The use of a composition as claimed in any one of claims 1 to 21 for the manufacture of a medicament for the treatment or prophylaxis of acne or photoaged or sun-damaged skin.

15

26. A method of topical therapeutic treatment of the human or animal body which comprises applying thereto an effective therapeutic amount of a composition as defined in any one of claims 1 to 21.

20 27. A method of topical cosmetic treatment of the human or animal body which comprises applying thereto an effective cosmetic amount of a composition as defined in any one of claims 1 to 22.

25 28. A composition as claimed in claim 1 substantially as hereinbefore described in the accompanying Examples.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 92/02230

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61K7/48; A61K31/07		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl. 5	A61K	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	US,A,4 247 547 (A.M. MARKS) 27 January 1981 see column 2 - column 3 see example 1	6-8
Y	---	1-5, 9-25
X	DE,A,2 601 489 (JOHNSON & JOHNSON) 22 July 1976 cited in the application & GB,A,1 476 717 see page 4 - page 7	6-8
Y	---	1-5, 9-25
Y	EP,A,0 151 953 (BEECHAM GROUP PLC) 21 August 1985 cited in the application see the whole document ---	1-25
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<sup>10</sup> Special categories of cited documents : "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search 03 MARCH 1993		Date of Mailing of this International Search Report 23. 03. 93
International Searching Authority EUROPEAN PATENT OFFICE		Signature of Authorized Officer GAC G.

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
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**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

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The members are as contained in the European Patent Office EDP file on  
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